

# BIRCH STEWART, KOLASCH & BIRCH, LLP

INTELLECTUAL PROPERTY LAW  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042-1210  
USA

(703) 205-8000

FAX: (703) 205-8050  
(703) 698-8590 (G IV)

e-mail: [mailroom@bskb.com](mailto:mailroom@bskb.com)  
web: <http://www.bskb.com>

CALIFORNIA OFFICE  
COSTA MESA, CALIFORNIA

TERRELL C. BIRCH  
RAYMOND C. STEWART  
JOSEPH A. KOLASCH  
JAMES M. SLATTERY  
BERNARD L. SWEENEY\*  
MICHAEL K. MUTTER  
CHARLES GORENSTEIN  
GERALD M. MURPHY  
LEONARD R. SVENSSON  
TERRY L. CLARK  
ANDREW D. MEIKLE  
MARC S. WEINER  
JOE MCKINNEY MUMFORD  
ROBERT J. KENNEY  
DONALD J. DALEY  
JOHN W. BAILEY  
JOHN A. CASTELLANO  
GARY D. YACURA

OF COUNSEL:  
HERBERT M. BIRCH (1905-1996)  
ELLIOT A. GOLDBERG\*  
WILLIAM L. GATES\*  
EDWARD H. VALANCE  
RUPERT J. BRADY (RET.)\*  
F. PRINCE BUTLER  
FRED S. WHISENHUNT

\*ADMITTED TO A BAR OTHER THAN VA.

THOMAS S. AUCHTERLONIE  
JAMES T. ELLER, JR.  
SCOTT L. LOWE  
MARK J. NUEL, PH.D.  
D. RICHARD ANDERSON  
PAUL C. LEWIS  
MARK W. MILSTEAD\*  
RICHARD J. GALLAGHER  
JAYNE M. SAYDAH\*  
MARYANNE ARMSTRONG PH.D.  
HYUNG N. SOHN  
ALAN PEDERSEN-GILES  
KECIA J. REYNOLDS

REG. PATENT AGENTS:  
FREDERICK R. HANDRE  
MAKI HATSUMI  
MIKE S. RYU  
CRAIG A. McROBBIE  
GARTH M. DAHLEN, PH.D.  
ROBERT E. GOOZNER, PH.D.  
MATTHEW J. LATTIG  
TIMOTHY R. WYCKOFF  
KRISTI L. RUPERT, PH.D.  
LARRY J. HUME  
ALBERT K. LEE  
HAYR A. SAYADIAN, PH.D.  
EVE L. FRANK, PH.D.  
MATTHEW T. SHANLEY

Date: February 20, 2001

Docket No.: 0941-0223P-SP

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): SHUY, Geoffrey Wen Tai  
CHANG, Yu-Chia; CHENG, Chu-Hsuan  
JOU, Zuei-Chown; KO, Wen-Yang

For: THIN-FILM DESIGN FOR OPTICAL RECORDING MEDIA

Enclosed are:

- ☒ A specification consisting of 19 pages
- ☒ 5 sheet(s) of Formal drawings
- ☐ An assignment of the invention - \$40.00 Recording Fee
- ☐ Certified copy of Priority Document(s)
- ☒ Executed Declaration ☐ Original ☒ Photocopy
- ☐ Applicant claims small entity status in accordance with 37 CFR 1.27
- ☐ Application Data Sheet in accordance with 37 C.F.R. 1.76

- ☐ Preliminary Amendment  
☐ Information Disclosure Statement, PTO-1449 and reference(s)  
☐ Other \_\_\_\_\_  
☐ Applicant requests early publication

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY			
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE		
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** \$710.00 *****	or	**** **** \$355.00 ****		
TOTAL CLAIMS	26 - 20 =	6	x18 =\$ 108.00	or	x 9 = \$	0.00	
INDEPENDENT	2 - 3 =	0	x80 =\$ 0.00	or	x 40 = \$	0.00	
MULTIPLE DEPENDENT CLAIM PRESENTED <u>no</u>			+270 = \$ 0.00	or	+135 = \$	0.00	
TOTAL \$ 818.00					TOTAL \$ 0.00		

- ☒ A check in the amount of \$ 710.00 to cover the filing fee and recording fee (if applicable) is enclosed.  
☐ Please charge Deposit Account No. 02-2448 in the amount of \$ \_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.  
☐ No fee is enclosed.

Please send correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292  
 P.O. Box 747  
 Falls Church, VA 22040-0747  
 Telephone: (703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
JOE MCKINNEY MUNCY

Reg. No. 32,334

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000  
KM/rr

0941-0223P-SP